



Carrier Global Corporation
Human Trafficking Policy Statement

On March 2, 2015, the Federal Acquisition Regulation (FAR) and Defense Federal Acquisition Regulation Supplement (DFARS) were amended to include new FAR and DFARS clauses implementing the United States Government's policy prohibiting trafficking in persons. These clauses will be included in covered prime contracts issued after March 2, 2015, and are required to be flowed down to covered suppliers.

As a Carrier supplier, your company is integral to Carrier and its businesses' commitment to providing products and services that exceed our customers' expectations while conforming to the highest standards of ethical conduct. We ask that you carefully review these new regulations and ensure that your company, agents and subcontractors take the actions necessary to come into compliance with the regulatory requirements and fully understand the penalties for non-compliance. A covered supplier also has the responsibility to report trafficking in persons violations by the supplier's employees and to provide employee protection under 10 U.S.C. 2409, as implemented in DFARS subpart 203.9, from reprisal for whistleblowing on trafficking in persons violations.

The U.S. Government requires subcontractors to certify compliance with the clauses' requirements. For your reference, the FAR provisions can be found [here](#) and the DFARS provisions can be found [here](#).

To report concerns about this policy or a potential violation, contact the Carrier Global Ethics & Compliance Office by email at CarrierHQ_Compliance@carrier.com, or, if you prefer to contact Carrier anonymously, you may use our Anonymous Reporting Program. Information regarding the Program is available on Carrier's website at <https://corporate.carrier.com/reporting>.